

LMSE 1

Logische Methoden des Software Engineerings
Vertiefungsmodul 1

Prof. Dr. Jakob Rehof

M.Sc. Andrej Dudenhefner

Lehrstuhl XIV, Software Engineering

Diese Vorlesung

- Church-style (explicit type system)
- Weak normalization

Lesen und Übungen

- Lesen: LNCH Kap. 3 (Rest)
- Übungen
 - Prove Proposition 3.1.8 (VL 4)
 - Prove Proposition 3.1.9 (VL 4)
 - Prove Corollary 3.1.11 (VL 4)

Simple types a la Church

3.2.1. DEFINITION.

- (i) The set Λ_{Π} of pseudo-terms is defined by the following grammar:

$$\Lambda_{\Pi} ::= V \mid (\lambda x: \Pi \Lambda_{\Pi}) \mid (\Lambda_{\Pi} \Lambda_{\Pi})$$

where V is the set of (λ -term) variables and Π is the set of simple types.¹ We adopt the same terminology, notation, and conventions for pseudo-terms as for λ -terms, see 1.3–1.10, *mutatis mutandis*.

- (ii) The *typability* relation \vdash^* on $C \times \Lambda_{\Pi} \times \Pi$ is defined by:²

$$\frac{}{\Gamma, x : \tau \vdash^* x : \tau} \quad \frac{\Gamma, x : \sigma \vdash^* M : \tau}{\Gamma \vdash^* \lambda x: \sigma. M : \sigma \rightarrow \tau} \quad \frac{\Gamma \vdash^* M : \sigma \rightarrow \tau \quad \Gamma \vdash^* N : \sigma}{\Gamma \vdash^* M N : \tau}$$

where we require that $x \notin \text{dom}(\Gamma)$ in the first and second rule.

- (iii) The simply typed λ -calculus à la Church ($\lambda \rightarrow$ à la Church, for short) is the triple $(\Lambda_{\Pi}, \Pi, \vdash^*)$.
- (iv) If $\Gamma \vdash^* M : \sigma$ then we say that M has type σ in Γ . We say that $M \in \Lambda_{\Pi}$ is *typable* if there are Γ and σ such that $\Gamma \vdash^* M : \sigma$.

Example

3.2.2. EXAMPLE. Let σ, τ, ρ be arbitrary simple types. Then:

- (i) $\vdash^* \lambda x: \sigma. x : \sigma \rightarrow \sigma$;
- (ii) $\vdash^* \lambda x: \sigma. \lambda y: \tau. x : \sigma \rightarrow \tau \rightarrow \sigma$;
- (iii) $\vdash^* \lambda x: \sigma \rightarrow \tau \rightarrow \rho. \lambda y: \sigma \rightarrow \tau. \lambda z: \sigma. (x \ z) \ y \ z : (\sigma \rightarrow \tau \rightarrow \rho) \rightarrow (\sigma \rightarrow \tau) \rightarrow \sigma \rightarrow \rho$.

Special properties of Church-system

3.2.12. PROPOSITION (Uniqueness of types).

- (i) *If $\Gamma \vdash^* M : \sigma$ and $\Gamma \vdash^* M : \tau$ then $\sigma = \tau$.*
- (ii) *If $\Gamma \vdash^* M : \sigma$ and $\Gamma \vdash^* N : \tau$ and $M =_{\beta} N$, then $\sigma = \tau$.*

Weak normalization

Wir studieren den Beweis des folgenden Satzes, der erst von A.M. Turing skizziert wurde.

3.4.2. THEOREM (Weak normalization). *Suppose $\Gamma \vdash^* M : \sigma$. Then there is a finite reduction $M_1 \rightarrow_\beta M_2 \rightarrow_\beta \dots \rightarrow_\beta M_n \in \text{NF}_\beta$.*

Height of a type

3.4.1. DEFINITION. Define the function $h : \Pi \rightarrow \mathbb{N}$ by:

$$\begin{aligned} h(\alpha) &= 0 \\ h(\tau \rightarrow \sigma) &= 1 + \max(h(\tau), h(\sigma)) \end{aligned}$$

Weak normalization

3.4.2. THEOREM (Weak normalization). *Suppose $\Gamma \vdash^* M : \sigma$. Then there is a finite reduction $M_1 \rightarrow_\beta M_2 \rightarrow_\beta \dots \rightarrow_\beta M_n \in \text{NF}_\beta$.*

PROOF. We use a proof idea due independently to Turing and Prawitz.

Define the *height* of a redex $(\lambda x:\tau.P^\rho)R$ to be $h(\tau \rightarrow \rho)$. For $M \in \Lambda_\Pi$ with $M \notin \text{NF}_\beta$ define

$$m(M) = (h(M), n)$$

where

$$h(M) = \max\{h(\Delta) \mid \Delta \text{ is a redex in } M\}$$

and n is the number of redex occurrences in M of height $h(M)$. If $M \in \text{NF}_\beta$ we define $h(M) = (0, 0)$.

Normalization

We show by induction on lexicographically ordered pairs $m(M)$ that if M is typable in $\lambda \rightarrow$ à la Church, then M has a reduction to normal-form.

Let $\Gamma \vdash M : \sigma$. If $M \in \text{NF}_\beta$ the assertion is trivially true. If $M \notin \text{NF}_\beta$, let Δ be the rightmost redex in M of maximal height h (we determine the position of a subterm by the position of its leftmost symbol, i.e., the rightmost redex means the redex which *begins* as much to the right as possible).

Let M' be obtained from M by reducing the redex Δ . The term M' may in general have more redexes than M . But we claim that the number of redexes of height h in M' is smaller than in M . Indeed, the redex Δ has disappeared, and the reduction of Δ may only create new redexes of height less than h . To see this, note that the number of redexes can increase by either copying existing redexes or by creating new ones.

Normalization

Now observe that if a new redex is created then one of the following cases must hold:

1. The redex Δ is of the form $(\lambda x:\tau. \dots xP^\rho \dots)(\lambda y^\rho.Q^\mu)^\tau$, where $\tau = \rho \rightarrow \mu$, and reduces to $\dots (\lambda y^\rho.Q^\mu)P^\rho \dots$. There is a new redex $(\lambda y^\rho.Q^\mu)P^\rho$ of height $h(\tau) < h$.
2. We have $\Delta = (\lambda x:\tau.\lambda y:\rho.R^\mu)P^\tau$, occurring in the context $\Delta^{\rho \rightarrow \mu}Q^\rho$. The reduction of Δ to $\lambda y:\rho.R_1^\mu$, for some R_1 , creates a new redex $(\lambda y:\rho.R_1^\mu)Q^\rho$ of height $h(\rho \rightarrow \mu) < h(\tau \rightarrow \rho \rightarrow \mu) = h$.
3. The last case is when $\Delta = (\lambda x:\tau.x)(\lambda y^\rho.P^\mu)$, with $\tau = \rho \rightarrow \mu$, and it occurs in the context $\Delta^\tau Q^\rho$. The reduction creates the new redex $(\lambda y^\rho.P^\mu)Q^\rho$ of height $h(\tau) < h$.

Normalization

The other possibility of adding redexes is by copying. If we have $\Delta = (\lambda x:\tau.P^{\rho})Q^{\tau}$, and P contains more than one free occurrence of x , then all redexes in Q are multiplied by the reduction. But we have chosen Δ to be the rightmost redex of height h , and thus all redexes in Q must be of smaller heights, because they are to the right of Δ .

Thus, in all cases $m(M) > m(M')$, so by the induction hypothesis M' has a normal-form, and then M also has a normal-form. \square

Expressibility

3.5.1. DEFINITION. Let

$$\mathbf{int} = (\alpha \rightarrow \alpha) \rightarrow (\alpha \rightarrow \alpha)$$

where α is an arbitrary type variable. A numeric function $f : \mathbb{N}^n \rightarrow \mathbb{N}$ is $\lambda \rightarrow$ -definable if there is an $F \in \Lambda$ with $\vdash F : \mathbf{int} \rightarrow \dots \rightarrow \mathbf{int} \rightarrow \mathbf{int}$ ($n + 1$ occurrences of \mathbf{int}) such that

$$F c_{n_1} \dots c_{n_m} =_{\beta} c_{f(n_1, \dots, n_m)}$$

for all $n_1, \dots, n_m \in \mathbb{N}$.

Expressibility

3.5.5. DEFINITION. The class of *extended polynomials* is the smallest class of numeric functions containing the

- (i) *projections*: $U_i^m(n_1, \dots, n_m) = n_i$ for all $1 \leq i \leq m$;
- (ii) *constant functions*: $k(n) = k$;
- (iii) *signum function*: $sg(0) = 0$ and $sg(m + 1) = 1$.

and closed under *addition* and *multiplication*:

- (i) *addition*: if $f : \mathbb{N}^k \rightarrow \mathbb{N}$ and $g : \mathbb{N}^l \rightarrow \mathbb{N}$ are extended polynomials, then so is $(f + g) : \mathbb{N}^{k+l} \rightarrow \mathbb{N}$

$$(f + g)(n_1, \dots, n_k, m_1, \dots, m_l) = f(n_1, \dots, n_k) + g(m_1, \dots, m_l)$$

- (ii) *multiplication*: if $f : \mathbb{N}^k \rightarrow \mathbb{N}$ and $g : \mathbb{N}^l \rightarrow \mathbb{N}$ are extended polynomials, then so is $(f \cdot g) : \mathbb{N}^{k+l} \rightarrow \mathbb{N}$

$$(f \cdot g)(n_1, \dots, n_k, m_1, \dots, m_l) = f(n_1, \dots, n_k) \cdot g(m_1, \dots, m_l)$$

3.5.6. THEOREM (Schwichtenberg). *The λ -definable functions are exactly the extended polynomials.*