

Logische Methoden des Software Engineering

Inhabitation in λ^{\rightarrow}

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Curry-Howard isomorphism

$$\frac{}{\Gamma, x : \tau \vdash x : \tau} (\text{var})$$

$$\frac{\Gamma, x : \tau \vdash M : \sigma}{\Gamma \vdash \lambda x. M : \tau \rightarrow \sigma} (\rightarrow I)$$

$$\frac{\Gamma \vdash M : \tau \rightarrow \sigma \quad \Gamma \vdash N : \tau}{\Gamma \vdash MN : \sigma} (\rightarrow E)$$



Curry-Howard isomorphism

$$\frac{}{\Gamma, \tau \vdash \tau} \text{(hyp)}$$

$$\frac{\Gamma, \tau \vdash \sigma}{\Gamma \vdash \tau \rightarrow \sigma} \text{(DT)}$$

$$\frac{\Gamma \vdash \tau \rightarrow \sigma \quad \Gamma \vdash \tau}{\Gamma \vdash \sigma} \text{(MP)}$$

Exercise 1

Let $\Gamma = \{\tau_1, \dots, \tau_n\}$. Prove that, if $\Gamma \vdash \sigma$ then $\tau_1 \rightarrow \dots \rightarrow \tau_n \rightarrow \sigma$ is boolean tautology, when \rightarrow is interpreted as implication.

So, inhabitation is *provability* in intuitionistic propositional logic.



Alternating Turing machines (ATM)

An *alternating Turing machine* is a tuple $\mathcal{M} = (\Sigma, Q, q_0, q_a, q_r, \Delta)$. The set of states $Q = Q_{\exists} \uplus Q_{\forall}$ is partitioned into a set Q_{\exists} of existential states and a set Q_{\forall} of universal states. There is an initial state $q_0 \in Q$, an accepting state $q_a \in Q_{\forall}$, and a rejecting state $q_r \in Q_{\exists}$. We take $\Sigma = \{0, 1, \sqcup\}$, where \sqcup is the blank symbol (used to initialize the tape but not written by the machine).

The transition relation Δ satisfies

$$\Delta \subseteq \Sigma \times Q \times \Sigma \times Q \times \{L, R\},$$

where $h \in \{L, R\}$ are the moves of the machine head (left and right). For $b \in \Sigma$ and $q \in Q$, we write $\Delta(b, q) = \{(c, p, h) \mid (b, q, c, p, h) \in \Delta\}$. We assume $\Delta(b, q_a) = \Delta(b, q_r) = \emptyset$, for all $b \in \Sigma$, and $\Delta(b, q) \neq \emptyset$ for $q \in Q \setminus \{q_a, q_r\}$.



Alternating Turing machines (ATM)

A *configuration* \mathcal{C} of \mathcal{M} is a word wqw' with $q \in Q$ and $w, w' \in \Sigma^*$. The *successor* relation $\mathcal{C} \Rightarrow \mathcal{C}'$ on configurations is defined as usual, according to Δ . We classify a configuration wqw' as *existential*, *universal*, *accepting* etc., according to q .

The notion of *eventually accepting* configuration is defined by induction (i.e., the set of all eventually accepting configurations is the smallest set satisfying the following closure conditions):

- An accepting configuration is eventually accepting.
- If \mathcal{C} is existential and some successor of \mathcal{C} is eventually accepting then so is \mathcal{C} .
- If \mathcal{C} is universal and all successors of \mathcal{C} are eventually accepting then so is \mathcal{C} .

Alternating Turing machines (ATM)

We use the notation for instruction sequences starting from existential states

- CHOOSE $x \in A$

and instruction sequences starting from universal states

- FORALL $(i = 1 \dots k) S_i$

A command of the form CHOOSE $x \in A$ branches from an existential state to successor states in which x gets assigned distinct elements of A . A command of the form FORALL $(i = 1 \dots k) S_i$ branches from a universal state to successor states from which each instruction sequence S_i is executed.

Alternating complexity

Some alternating complexity classes:

- $\text{APTIME} := \bigcup_{k>0} \text{ATIME}(n^k)$
- $\text{APSPACE} := \bigcup_{k>0} \text{ASPACE}(n^k)$
- $\text{AEXPTIME} := \bigcup_{k>0} \text{ATIME}(k^n)$

Theorem 1 (Chandra, Kozen, Stockmeyer 1981)

- $\text{APTIME} = \text{PSPACE}$
- $\text{APSPACE} = \text{EXPTIME}$
- $\text{AEXPTIME} = \text{EXPSpace}$



Inhabitation in λ^{\rightarrow} is PSPACE-complete

We will give a detailed proof of Statman's Theorem: inhabitation in λ^{\rightarrow} is PSPACE-complete. This result was first proven in [Sta79] (using, among other things, results of Ladner [Lad77]).

Our proof follows [Urz97] (see also [SU06]) where a syntactic approach was used, and where alternation is used to simplify the proof.



Inhabitation in λ^{\rightarrow} : upper bound

Notice that every type τ of λ^{\rightarrow} can be written on the form $\tau \equiv \tau_1 \rightarrow \cdots \tau_n \rightarrow a$, $n \geq 0$, where a is an atom (either a type variable or a type constant).

Notice that every application context can be written on the form $xP_1 \cdots P_n$ for some maximal $n \geq 0$.

An explicitly typed λ -term M is in *η -long normal form* if it is a β -normal form and every maximal application in M has the form $x^{\tau_1 \rightarrow \cdots \rightarrow \tau_n \rightarrow a} P_1^{\tau_1} \cdots P_n^{\tau_n}$. In other words, in such terms applications are fully applied according to the type of the operator.

Notice that every typed β -normal form of type τ can be converted into *η -long normal form*: any subterm occurrence of a maximal application $Q^{\sigma \rightarrow \rho}$ can be converted into $\lambda x : \sigma. Qx$ where $x \notin \text{FV}(Q)$.

Set $\Gamma \boxplus (x : \tau) = \Gamma$, if there exists $y \in \text{Dm}(\Gamma)$ with $\Gamma(y) = \tau$, and otherwise $\Gamma \boxplus (x : \tau) = \Gamma \cup \{(x : \tau)\}$.

Inhabitation in λ^{\rightarrow} : upper bound

Algorithm INH(λ^{\rightarrow})

Input : Γ, τ

loop :

```
1  IF ( $\tau \equiv a$ )
2  THEN
3    CHOOSE ( $x : \sigma_1 \rightarrow \dots \rightarrow \sigma_n \rightarrow a$ )  $\in \Gamma$ ;
4    IF ( $n = 0$ ) THEN ACCEPT;
5    ELSE
6      FORALL ( $i = 1 \dots n$ )
7         $\tau := \sigma_i$ ;
8        GOTO loop;
9  ELSE IF ( $\tau \equiv \sigma \rightarrow \rho$ )
10 THEN
11    $\Gamma := \Gamma \boxplus (y : \sigma)$  where  $y$  is fresh;
12    $\tau := \rho$ ;
13   GOTO loop;
```



Inhabitation in λ^{\rightarrow} : upper bound

Proposition 1

Inhabitation in λ^{\rightarrow} is in PSPACE.

Proof.

By algorithm $\text{INH}(\lambda^{\rightarrow})$. Clearly, the algorithm performs exhaustive search for η -long normal form inhabitants. The algorithm decides inhabitation in λ^{\rightarrow} in polynomial space. For consider configurations (Γ, τ) arising during an entire run of the algorithm on input (Γ_0, τ_0) . Notice that Γ and τ always only contain types that are subtrees of types present in the previous values of Γ and τ (line 7 and line 11). Since a tree of size m has m distinct subtrees, the set of distinct configurations (Γ, τ) can be bounded by n^2 , where n is the size of the input. Hence, the algorithm shows that the problem is in APTIME , which is PSPACE by Theorem 1. □



Inhabitation in λ^{\rightarrow} : lower bound

Reduction from provability of quantified boolean fomulae ϕ, χ, ψ :

$$\phi ::= p \mid \neg\phi \mid \phi \wedge \psi \mid \phi \vee \psi \mid \forall p.\phi \mid \exists p.\phi$$

We can assume w.l.o.g. that negation is only applied to propositional variables p in ϕ , that all bound variables are distinct and that no variable occurs both free and bound.



Inhabitation in λ^{\rightarrow} : lower bound

Given formula ϕ , construct type environment Γ_{ϕ} by induction on ϕ :

- For each propositional variable p in ϕ , let α_p and $\alpha_{\neg p}$ be fresh type variables. For each subformula ψ , let α_{ψ} be fresh type variables.

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- If $\phi \equiv p$, then $\Gamma_{\phi} = \emptyset$.

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- If $\phi \equiv \neg p$, then $\Gamma_\phi = \emptyset$.
- If $\phi \equiv \chi \wedge \psi$, then $\Gamma_\phi = \Gamma_\chi \cup \Gamma_\psi \cup \{x_\phi : \alpha_\chi \rightarrow \alpha_\psi \rightarrow \alpha_{\chi \wedge \psi}\}$.

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- If $\phi \equiv \chi \vee \psi$, then $\Gamma_{\phi} = \Gamma_{\chi} \cup \Gamma_{\psi} \cup \{x_{\phi}^l : \alpha_{\chi} \rightarrow \alpha_{\chi \vee \psi}, x_{\phi}^r : \alpha_{\psi} \rightarrow \alpha_{\chi \vee \psi}\}$.



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- If $\phi \equiv p$, then $\Gamma_\phi = \emptyset$.
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- If $\phi \equiv \chi \wedge \psi$, then $\Gamma_\phi = \Gamma_\chi \cup \Gamma_\psi \cup \{x_\phi : \alpha_\chi \rightarrow \alpha_\psi \rightarrow \alpha_{\chi \wedge \psi}\}$.
- If $\phi \equiv \chi \vee \psi$, then $\Gamma_\phi = \Gamma_\chi \cup \Gamma_\psi \cup \{x_\phi^l : \alpha_\chi \rightarrow \alpha_{\chi \vee \psi}, x_\phi^r : \alpha_\psi \rightarrow \alpha_{\chi \vee \psi}\}$.
- If $\phi \equiv \forall p.\psi$, then $\Gamma_\phi = \Gamma_\psi \cup \{x_\phi : (\alpha_p \rightarrow \alpha_\psi) \rightarrow (\alpha_{\neg p} \rightarrow \alpha_\psi) \rightarrow \alpha_{\forall p.\psi}\}$.

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- If $\phi \equiv p$, then $\Gamma_{\phi} = \emptyset$.
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- If $\phi \equiv \forall p.\psi$, then $\Gamma_{\phi} = \Gamma_{\psi} \cup \{x_{\phi} : (\alpha_p \rightarrow \alpha_{\psi}) \rightarrow (\alpha_{\neg p} \rightarrow \alpha_{\psi}) \rightarrow \alpha_{\forall p.\psi}\}$.
- If $\phi \equiv \exists p.\psi$, then
 $\Gamma_{\phi} = \Gamma_{\psi} \cup \{x_{\phi}^0 : (\alpha_p \rightarrow \alpha_{\psi}) \rightarrow \alpha_{\exists p.\psi}, x_{\phi}^1 : (\alpha_{\neg p} \rightarrow \alpha_{\psi}) \rightarrow \alpha_{\exists p.\psi}\}$.



Inhabitation in $\lambda \rightarrow$: lower bound

A valuation v is a map from propositional variables to truth values in $\{0, 1\}$.



Inhabitation in $\lambda \rightarrow$: lower bound

A valuation v is a map from propositional variables to truth values in $\{0, 1\}$.

For a formula ϕ and a valuation v , let Γ_ϕ^v be the extension of Γ_ϕ :

$$\Gamma_\phi^v = \Gamma_\phi \cup \bigcup_{p \in \text{Dm}(v)} \{x_p : \langle \alpha \rangle_v^p\}$$

where $\langle \alpha \rangle_v^p = \alpha_p$ if $v(p) = 1$ and $\langle \alpha \rangle_v^p = \alpha_{\neg p}$ if $v(p) = 0$.



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A valuation of a formula ϕ is a valuation defined on the free variables of ϕ .



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A valuation of a formula ϕ is a valuation defined on the free variables of ϕ .

We write $v \oplus [p := b]$ for the extension of v mapping p to $b \in \{0, 1\}$.



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A valuation of a formula ϕ is a valuation defined on the free variables of ϕ .

We write $v \oplus [p := b]$ for the extension of v mapping p to $b \in \{0, 1\}$.

We write $\Gamma \not\vdash \tau$ as abbreviation for $\neg \exists M. \Gamma \vdash M : \tau$.



Inhabitation in λ^{\rightarrow} : lower bound

Assume w.l.o.g. that formulae ϕ have negation signs only applied to propositional variables.

We let $\llbracket \phi \rrbracket v$ denote the truth value of ϕ under valuation v , defined by induction on ϕ :



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$$\llbracket p \rrbracket v = v(p)$$



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We let $\llbracket \phi \rrbracket v$ denote the truth value of ϕ under valuation v , defined by induction on ϕ :

$$\begin{aligned}\llbracket p \rrbracket v &= v(p) \\ \llbracket \neg p \rrbracket v &= 0, \text{ if } v(p) = 1, \text{ else } 1\end{aligned}$$



Inhabitation in $\lambda \rightarrow$: lower bound

Assume w.l.o.g. that formulae ϕ have negation signs only applied to propositional variables.

We let $\llbracket \phi \rrbracket v$ denote the truth value of ϕ under valuation v , defined by induction on ϕ :

$$\begin{aligned}\llbracket p \rrbracket v &= v(p) \\ \llbracket \neg p \rrbracket v &= 0, \text{ if } v(p) = 1, \text{ else } 1 \\ \llbracket \psi \wedge \chi \rrbracket v &= \min\{\llbracket \psi \rrbracket v, \llbracket \chi \rrbracket v\}\end{aligned}$$



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Inhabitation in λ^{\rightarrow} : lower bound

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We let $\llbracket \phi \rrbracket v$ denote the truth value of ϕ under valuation v , defined by induction on ϕ :

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Inhabitation in λ^{\rightarrow} : lower bound

Lemma 2

For every formula ϕ and every valuation v of ϕ , one has

$$\llbracket \phi \rrbracket v = 1 \Leftrightarrow \exists M. \Gamma_{\phi}^v \vdash M : \alpha_{\phi}$$

Proof

By induction on ϕ .

Case $\phi \equiv p$. If $\llbracket p \rrbracket v = 1$, i.e., $v(p) = 1$, then $\Gamma_{\phi}^v = \{x_p^v : \alpha_p\}$, so $\Gamma_{\phi}^v \vdash x_p^v : \alpha_p$. If $\Gamma_{\phi}^v \vdash M : \alpha_p$, then, by construction of Γ_{ϕ}^v , it must be the case that $\Gamma_{\phi}^v = \{x_p^v : \alpha_p\}$, so that $v(p) = 1$.

Case $\phi \equiv \neg p$. Similar to previous case.



Inhabitation in λ^{\rightarrow} : lower bound

Proof (continued)

Case $\phi \equiv \chi \wedge \psi$

If $\llbracket \phi \rrbracket v = 1$, then $\llbracket \chi \rrbracket v = \llbracket \psi \rrbracket v = 1$. By induction hypothesis, $\Gamma_{\chi}^v \vdash M : \alpha_{\chi}$ and $\Gamma_{\psi}^v \vdash N : \alpha_{\psi}$, for some M and N . It follows that $\Gamma_{\chi \wedge \psi}^v \vdash x_{\chi \wedge \psi} MN : \alpha_{\chi \wedge \psi}$.

If $\llbracket \phi \rrbracket v = 0$, then $\llbracket \chi \rrbracket v = 0$ or $\llbracket \psi \rrbracket v = 0$. If $\llbracket \chi \rrbracket v = 0$, then by induction hypothesis, $\Gamma_{\chi}^v \not\vdash \alpha_{\chi}$, hence by construction of Γ_{ϕ}^v , we must have $\Gamma_{\phi}^v \not\vdash \alpha_{\chi}$. It follows that $\Gamma_{\phi}^v \not\vdash \alpha_{\chi \wedge \psi}$. The case where $\llbracket \psi \rrbracket v = 0$ is analogous.



Inhabitation in λ^{\rightarrow} : lower bound

Proof (continued)

Case $\phi \equiv \forall p. \psi$

If $\llbracket \phi \rrbracket v = 1$, then $\llbracket \psi \rrbracket v_0 = \llbracket \psi \rrbracket v_1 = 1$, where $v_0 = v \oplus [p := 0]$ and $v_1 = v \oplus [p := 1]$. By induction hypothesis, we have $\Gamma_{\psi}^{v_0} \vdash M : \alpha_{\psi}$ and $\Gamma_{\psi}^{v_1} \vdash N : \alpha_{\psi}$, for some M and N , which (by definitions) can also be written as $\Gamma_{\phi}^v \cup \{x_p : \alpha_{\neg p}\} \vdash M : \alpha_{\psi}$ and $\Gamma_{\phi}^v \cup \{x_p : \alpha_p\} \vdash N : \alpha_{\psi}$. Hence, $\Gamma_{\phi}^v \vdash \lambda x_p : \alpha_{\neg p}. M : \alpha_{\neg p} \rightarrow \alpha_{\psi}$ and $\Gamma_{\phi}^v \vdash \lambda x_p : \alpha_p. N : \alpha_p \rightarrow \alpha_{\psi}$. It follows that we have

$$\Gamma_{\phi}^v \vdash x_{\phi}(\lambda x_p : \alpha_p. N)(\lambda x_p : \alpha_{\neg p}. M) : \alpha_{\phi}$$



Inhabitation in λ^{\rightarrow} : lower bound

Proof (continued)

Case $\phi \equiv \forall p. \psi$

If $\llbracket \phi \rrbracket v = 0$, then either we have $\llbracket \psi \rrbracket (v \oplus [p := 0]) = 0$ or $\llbracket \psi \rrbracket (v \oplus [p := 1]) = 0$. Suppose that the former is the case. Then, by induction hypothesis, we have $\Gamma_{\psi}^{v_0} \not\vdash \alpha_{\psi}$, where $v_0 = v \oplus [p := 0]$. Hence, by definitions, we have $\Gamma_{\psi} \cup \{x_p : \alpha_{\neg p}\} \not\vdash \alpha_{\psi}$. By construction of Γ_{ϕ}^v , it follows that we have $\Gamma_{\phi}^v \not\vdash \alpha_{\phi}$. The case where $\llbracket \psi \rrbracket (v \oplus [p := 1]) = 0$ is analogous.



Inhabitation in λ^{\rightarrow} : lower bound

Proof (continued)

Remaining cases are left as an exercise :)

Proposition 2

Inhabitation in λ^{\rightarrow} is PSPACE-hard.

Proof.

In order to decide provability of QBF formula ϕ , it suffices to ask whether $\Gamma_{\phi} \vdash? : \alpha_{\phi}$, by Lemma 2. Since the construction of Γ_{ϕ} can be carried out in logarithmic space, the proposition follows from PSPACE-hardness of QBF. \square



Inhabitation in λ^{\rightarrow}

Theorem 3 (Statman 1979)

Inhabitation in λ^{\rightarrow} is PSPACE-complete.

Proof.

By Proposition 1 and Proposition 2.





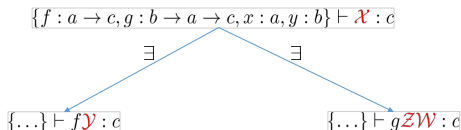
$$\vdash ? : (a \rightarrow c) \rightarrow (b \rightarrow a \rightarrow c) \rightarrow a \rightarrow b \rightarrow c$$

$\vdash ? : (a \rightarrow c) \rightarrow (b \rightarrow a \rightarrow c) \rightarrow a \rightarrow b \rightarrow c$

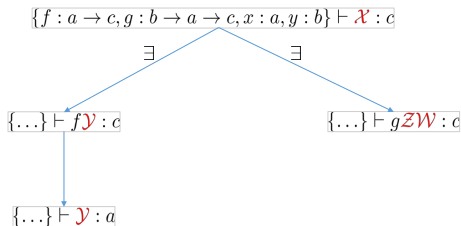


$\{f : a \rightarrow c, g : b \rightarrow a \rightarrow c, x : a, y : b\} \vdash \mathcal{X} : c$

$\vdash ? : (a \rightarrow c) \rightarrow (b \rightarrow a \rightarrow c) \rightarrow a \rightarrow b \rightarrow c$



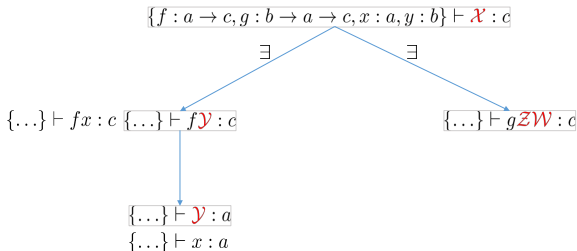
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Inhabitation

$\vdash ? : (a \rightarrow c) \rightarrow (b \rightarrow a \rightarrow c) \rightarrow a \rightarrow b \rightarrow c$

$\vdash \lambda f. \lambda g. \lambda x. \lambda y. f x : \sigma$

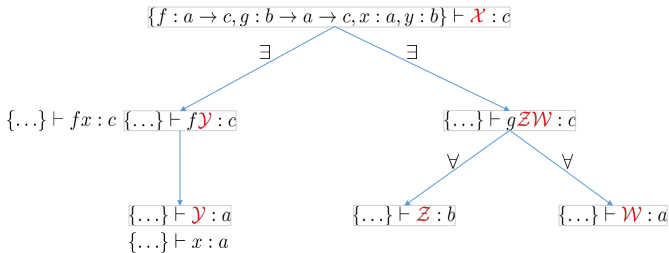




Inhabitation

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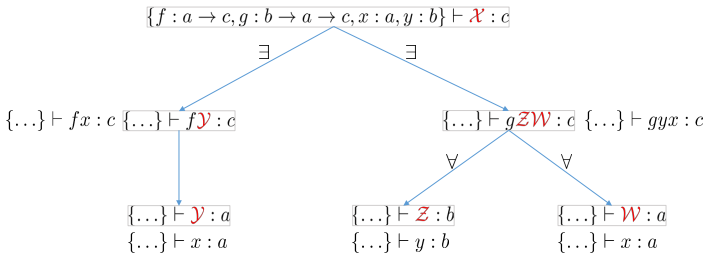


Inhabitation

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$$\vdash \lambda f. \lambda g. \lambda x. \lambda y. g y x : \sigma$$





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