

# LMSE

Logische Methoden des Software  
Engineerings

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# Diese Vorlesung

- Church-style (explicit type system)
- Weak normalization

# Lesen und Übungen

- Lesen: LNCH Kap. 3 (Rest)
- Übungen
  - Prove Proposition 3.1.8 (VL 4)
  - Prove Proposition 3.1.9 (VL 4)
  - Prove Corollary 3.1.11 (VL 4)

# Simple types a la Church

## 3.2.1. DEFINITION.

- (i) The set  $\Lambda_{\Pi}$  of pseudo-terms is defined by the following grammar:

$$\Lambda_{\Pi} ::= V \mid (\lambda x: \Pi \Lambda_{\Pi}) \mid (\Lambda_{\Pi} \Lambda_{\Pi})$$

where  $V$  is the set of ( $\lambda$ -term) variables and  $\Pi$  is the set of simple types.<sup>1</sup> We adopt the same terminology, notation, and conventions for pseudo-terms as for  $\lambda$ -terms, see 1.3–1.10, *mutatis mutandis*.

- (ii) The *typability* relation  $\vdash^*$  on  $C \times \Lambda_{\Pi} \times \Pi$  is defined by:<sup>2</sup>

$$\frac{}{\Gamma, x : \tau \vdash^* x : \tau} \quad \frac{\Gamma, x : \sigma \vdash^* M : \tau}{\Gamma \vdash^* \lambda x: \sigma. M : \sigma \rightarrow \tau} \quad \frac{\Gamma \vdash^* M : \sigma \rightarrow \tau \quad \Gamma \vdash^* N : \sigma}{\Gamma \vdash^* M N : \tau}$$

where we require that  $x \notin \text{dom}(\Gamma)$  in the first and second rule.

- (iii) The simply typed  $\lambda$ -calculus à la Church ( $\lambda \rightarrow$  à la Church, for short) is the triple  $(\Lambda_{\Pi}, \Pi, \vdash^*)$ .
- (iv) If  $\Gamma \vdash^* M : \sigma$  then we say that  $M$  has type  $\sigma$  in  $\Gamma$ . We say that  $M \in \Lambda_{\Pi}$  is *typable* if there are  $\Gamma$  and  $\sigma$  such that  $\Gamma \vdash^* M : \sigma$ .

# Example

3.2.2. EXAMPLE. Let  $\sigma, \tau, \rho$  be arbitrary simple types. Then:

- (i)  $\vdash^* \lambda x: \sigma. x : \sigma \rightarrow \sigma;$
- (ii)  $\vdash^* \lambda x: \sigma. \lambda y: \tau. x : \sigma \rightarrow \tau \rightarrow \sigma;$
- (iii)  $\vdash^* \lambda x: \sigma \rightarrow \tau \rightarrow \rho. \lambda y: \sigma \rightarrow \tau. \lambda z: \sigma. (x \ z) \ y \ z : (\sigma \rightarrow \tau \rightarrow \rho) \rightarrow (\sigma \rightarrow \tau) \rightarrow \sigma \rightarrow \rho.$

# Special properties of Church-system

3.2.12. PROPOSITION (Uniqueness of types).

- (i) *If  $\Gamma \vdash^* M : \sigma$  and  $\Gamma \vdash^* M : \tau$  then  $\sigma = \tau$ .*
- (ii) *If  $\Gamma \vdash^* M : \sigma$  and  $\Gamma \vdash^* N : \tau$  and  $M =_{\beta} N$ , then  $\sigma = \tau$ .*

# Weak normalization

Wir studieren den Beweis des folgenden Satzes, der erst von A.M. Turing skizziert wurde.

3.4.2. THEOREM (Weak normalization). *Suppose  $\Gamma \vdash^* M : \sigma$ . Then there is a finite reduction  $M_1 \rightarrow_\beta M_2 \rightarrow_\beta \dots \rightarrow_\beta M_n \in \text{NF}_\beta$ .*

# Height of a type

3.4.1. DEFINITION. Define the function  $h : \Pi \rightarrow \mathbb{N}$  by:

$$\begin{aligned} h(\alpha) &= 0 \\ h(\tau \rightarrow \sigma) &= 1 + \max(h(\tau), h(\sigma)) \end{aligned}$$

# Weak normalization

3.4.2. THEOREM (Weak normalization). *Suppose  $\Gamma \vdash^* M : \sigma$ . Then there is a finite reduction  $M_1 \rightarrow_\beta M_2 \rightarrow_\beta \dots \rightarrow_\beta M_n \in \text{NF}_\beta$ .*

PROOF. We use a proof idea due independently to Turing and Prawitz.

Define the *height* of a redex  $(\lambda x:\tau.P^\rho)R$  to be  $h(\tau \rightarrow \rho)$ . For  $M \in \Lambda_\Pi$  with  $M \notin \text{NF}_\beta$  define

$$m(M) = (h(M), n)$$

where

$$h(M) = \max\{h(\Delta) \mid \Delta \text{ is a redex in } M\}$$

and  $n$  is the number of redex occurrences in  $M$  of height  $h(M)$ . If  $M \in \text{NF}_\beta$  we define  $h(M) = (0, 0)$ .

# Normalization

We show by induction on lexicographically ordered pairs  $m(M)$  that if  $M$  is typable in  $\lambda \rightarrow$  à la Church, then  $M$  has a reduction to normal-form.

Let  $\Gamma \vdash M : \sigma$ . If  $M \in \text{NF}_\beta$  the assertion is trivially true. If  $M \notin \text{NF}_\beta$ , let  $\Delta$  be the rightmost redex in  $M$  of maximal height  $h$  (we determine the position of a subterm by the position of its leftmost symbol, i.e., the rightmost redex means the redex which *begins* as much to the right as possible).

Let  $M'$  be obtained from  $M$  by reducing the redex  $\Delta$ . The term  $M'$  may in general have more redexes than  $M$ . But we claim that the number of redexes of height  $h$  in  $M'$  is smaller than in  $M$ . Indeed, the redex  $\Delta$  has disappeared, and the reduction of  $\Delta$  may only create new redexes of height less than  $h$ . To see this, note that the number of redexes can increase by either copying existing redexes or by creating new ones.

# Normalization

Now observe that if a new redex is created then one of the following cases must hold:

1. The redex  $\Delta$  is of the form  $(\lambda x:\tau. \dots xP^\rho \dots)(\lambda y^\rho.Q^\mu)^\tau$ , where  $\tau = \rho \rightarrow \mu$ , and reduces to  $\dots (\lambda y^\rho.Q^\mu)P^\rho \dots$ . There is a new redex  $(\lambda y^\rho.Q^\mu)P^\rho$  of height  $h(\tau) < h$ .
2. We have  $\Delta = (\lambda x:\tau.\lambda y:\rho.R^\mu)P^\tau$ , occurring in the context  $\Delta^{\rho \rightarrow \mu}Q^\rho$ . The reduction of  $\Delta$  to  $\lambda y:\rho.R_1^\mu$ , for some  $R_1$ , creates a new redex  $(\lambda y:\rho.R_1^\mu)Q^\rho$  of height  $h(\rho \rightarrow \mu) < h(\tau \rightarrow \rho \rightarrow \mu) = h$ .
3. The last case is when  $\Delta = (\lambda x:\tau.x)(\lambda y^\rho.P^\mu)$ , with  $\tau = \rho \rightarrow \mu$ , and it occurs in the context  $\Delta^\tau Q^\rho$ . The reduction creates the new redex  $(\lambda y^\rho.P^\mu)Q^\rho$  of height  $h(\tau) < h$ .

# Normalization

The other possibility of adding redexes is by copying. If we have  $\Delta = (\lambda x:\tau.P^{\rho})Q^{\tau}$ , and  $P$  contains more than one free occurrence of  $x$ , then all redexes in  $Q$  are multiplied by the reduction. But we have chosen  $\Delta$  to be the rightmost redex of height  $h$ , and thus all redexes in  $Q$  must be of smaller heights, because they are to the right of  $\Delta$ .

Thus, in all cases  $m(M) > m(M')$ , so by the induction hypothesis  $M'$  has a normal-form, and then  $M$  also has a normal-form.  $\square$

# Expressibility

3.5.1. DEFINITION. Let

$$\mathbf{int} = (\alpha \rightarrow \alpha) \rightarrow (\alpha \rightarrow \alpha)$$

where  $\alpha$  is an arbitrary type variable. A numeric function  $f : \mathbb{N}^n \rightarrow \mathbb{N}$  is  $\lambda \rightarrow$ -definable if there is an  $F \in \Lambda$  with  $\vdash F : \mathbf{int} \rightarrow \dots \rightarrow \mathbf{int} \rightarrow \mathbf{int}$  ( $n + 1$  occurrences of  $\mathbf{int}$ ) such that

$$F c_{n_1} \dots c_{n_m} =_{\beta} c_{f(n_1, \dots, n_m)}$$

for all  $n_1, \dots, n_m \in \mathbb{N}$ .

# Expressibility

3.5.5. DEFINITION. The class of *extended polynomials* is the smallest class of numeric functions containing the

- (i) *projections*:  $U_i^m(n_1, \dots, n_m) = n_i$  for all  $1 \leq i \leq m$ ;
- (ii) *constant functions*:  $k(n) = k$ ;
- (iii) *signum function*:  $sg(0) = 0$  and  $sg(m + 1) = 1$ .

and closed under *addition* and *multiplication*:

- (i) *addition*: if  $f : \mathbb{N}^k \rightarrow \mathbb{N}$  and  $g : \mathbb{N}^l \rightarrow \mathbb{N}$  are extended polynomials, then so is  $(f + g) : \mathbb{N}^{k+l} \rightarrow \mathbb{N}$

$$(f + g)(n_1, \dots, n_k, m_1, \dots, m_l) = f(n_1, \dots, n_k) + g(m_1, \dots, m_l)$$

- (ii) *multiplication*: if  $f : \mathbb{N}^k \rightarrow \mathbb{N}$  and  $g : \mathbb{N}^l \rightarrow \mathbb{N}$  are extended polynomials, then so is  $(f \cdot g) : \mathbb{N}^{k+l} \rightarrow \mathbb{N}$

$$(f \cdot g)(n_1, \dots, n_k, m_1, \dots, m_l) = f(n_1, \dots, n_k) \cdot g(m_1, \dots, m_l)$$

3.5.6. THEOREM (Schwichtenberg). *The  $\lambda$ -definable functions are exactly the extended polynomials.*